



GR/2877

DOCKET NO. P02477US2 (P0479-R2-US)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Wright et al. ART UNIT: 2877  
SERIAL NO: 09/309,157 EXAMINER:  
FILED: May 10, 1999  
TITLE: NEAR INFRARED SPECTROMETRY FOR REAL TIME  
ANALYSIS OF SUBSTANCES

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(B))**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first

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**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 with sufficient postage:

37 CFR 1.8(a)

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37 CFR 1.10

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**FACSIMILE TRANSMISSION**

☐ transmitted by facsimile to the Patent and Trademark Office

Date:

November 6, 2000

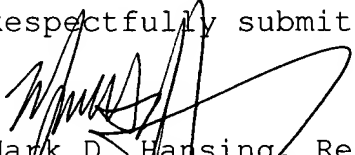
Wanda R. Webb  
Wanda R. Webb



Office action on the merits, whichever event occurs last. 37  
CFR 1.97(b).

It is not believed that any fee is due with this response.  
If any fee has been inadvertently overlooked please charge  
deposit account #26-0084.

Respectfully submitted,

  
Mark D. Hansing, Reg. No. 30,643  
ZARLEY, MCKEE, THOMTE,  
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#6 Suppl.  
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MR  
11/16/00

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner For Patents  
Washington, D.C. 20231

Dear Sir:

**List of Sections Forming Part of This  
Information Disclosure Statement**

1. ☒ Preliminary Statements
2. ☒ FORM PTO-1449 (Modified) 2 sheet(s)
3. ☐ Statement As To Information Not Found In Patents or Publications
4. ☐ Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
8. ☐ Translation(s) of Non-English Language Documents

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Date: November 6, 2000

Wanda Webb  
Wanda R. Webb



9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

### Section 1. Preliminary Statements

Applicant(s) submit(s) herewith patents, publications or other information of which he/they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1134 O.G. 13-25, at 25.

### Section 2. Form PTO-1449 (Modified)

Attached to this statement is 1 sheet(s) of Form PTO-1449.

### Section 3. Statement As To Information Not Found In Patents Or Publications (Information Not Listed In PTO-1449)

### Section 4. Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted

### Section 5. Cumulative Patents Or Publications

#### STATEMENT

List A is/are cumulative of list B below of patents or publications listed on Form PTO 1449 (modified).

LIST A

LIST B

In accordance with 37 CFR 1.98(c) a copy of only the following items is being submitted with this Information Disclosure Statement. Not applicable.

**Section 6. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed accompany this information statement.

- ☐ Exception(s) to above.
- ☐ Items in prior application from which an earlier filing date is claimed for this application as identified in Section 4.
- ☐ Cumulative patents or publications identified in Section 5.
- ☐ Items not available to applicant(s) (see Section 7).

**Section 7. Concise Explanation of Non-English Language Listed Information Items**

**Section 8. Translation(s) of Non-English Language Documents**

- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material.
- ☐ No English language translation of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above if any.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

Section 9. Concise Explanation of Listed Information Items

Section 10. Identification of Person(s) Making THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is

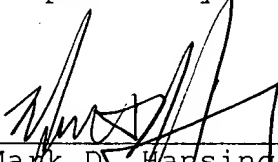
- (a) ☐ the inventor(s) who sign(s) below

\_\_\_\_\_  
(INVENTOR'S NAME)

- (b) ☒ the attorney who signs below on the basis of:  
☒ the information supplied by the inventor(s)  
☐ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
☒ the information in the attorney's file.



Respectfully submitted,

  
\_\_\_\_\_  
Mark D. Wansing, Reg. No. 30,643  
ZARLEY, MCKEE, THOMTE,  
VOORHEES & SEASE  
Attorneys of Record

801 Grand Avenue, Suite 3200  
Des Moines, Iowa 50309-2721  
515-288-3667 -wrw-  
515-282-6778

Attachments:

- PTO Form 1449 [1 sheet(s)]  
-- Cited Art

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